

Code**Company name** Navibank Securities Joint Stock Company (NVS)**Date** 09/23/2021**Subject** Report on results of private placement of shares**Content:**

Navibank Securities Joint Stock Company (NVS) announced results of private placement as follows:

I. Information on the private placement:

- Stock name: Stock of Navibank Securities Joint Stock Company
- Stock type: common stock
- Offering volume: 6,500,000 shares
- Offering price: VND10,000/share
 - ✓ Estimated high price: VND10,000/share ;
 - ✓ Estimated low price: VND10,000/share.
- Restriction period: 01 year from the ending date of offering.
- Expected mobilized capital: VND65,000,000,000.
- Ending date of offering: September 22, 2021

II. Results:

- Total distributed volume: 6,500,000 shares
 - ✓ Local investors: 6,500,000 shares;
 - ✓ Foreign investors: 0 shares.
- Offering price:
 - ✓ High price: VND10,000/share ;
 - ✓ Low price: VND10,000/share;
 - ✓ Average price: VND10,000/share.
- Net proceeds: VND65,000,000,000.
- Total expenses: 0.
- Net proceeds: VND65,000,000,000.

III. List of investors:

Full name	Investor	Owning	Distributed	Owning	Owning
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	type	volume before trading	volume	volume after trading	ratio after trading
Le Thi Anh	Professional investor. Local investor.	0 shares	6,500,000 shares	6,500,000 shares	25.79%